

14023 U.S. PTO
012904

PATENT APPLICATION TRANSMITTAL LETTER

Commissioner for Patents
P.O. Box 1450
Alexandria, Virginia 22313-1450

"Express Mail" Mailing Label Number EV340033243 US
Date of Deposit 01-29-04
I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to the
Commissioner for Patents
P.O. Box 1450, Alexandria, VA 22313-1450

Transmitted herewith for filing is a patent application of:

Paul A. Mueller and Joseph P. Kosir

R. Cox
(TYPED OR PRINTED NAME OF SENDER)

for CLIP-ON LABEL HOLDER FOR SHELF CHANNEL

R. Cox
(SIGNATURE)

Enclosed are:

- [x] 12 sheets of specification.
- [x] 1 sheet of Abstract.
- [x] 9 sheets of claims.
- [x] 6 sheets of formal drawings (FIGS. 1 - 11)
- [x] An Assignment of an invention to Fasteners For Retail, Inc., along with the assignment cover sheet is enclosed.
- [x] A Declaration and Power of Attorney.
- [] A Preliminary Amendment
- [x] Information Disclosure Statement and PTO-1449.
- [x] Applicant claims small entity status.
- [] A certified copy of Patent Application No. filed on will be forwarded.

Claims as Filed				
For	Number Filed	Number Extra	Rate	Basic Fee \$385.00
Total Claims	41 - 20 =	21	x \$9	\$ 189.00
Independent Claims	5 - 3 =	2	x \$43	\$ 86.00
Total Filing Fee --->				\$ 660.00

[] Please charge my Deposit Account No. in the amount of \$.

[x] The Commissioner is hereby authorized to charge any additional filing fees which may be required for this application without specific authorization, or credit any overpayment to Deposit Account No. 06-0308.

[x] A check in the amount of \$ 700.00 to cover the small entity filing fee and the assignment recordal fee are enclosed.

FAY, SHARPE, FAGAN, MINNICH & MCKEE, LLP

29 Jan 2004
Date

Jay F. Moldovanyi
Jay F. Moldovanyi
Reg. No. 29,678
1100 Superior Ave.
Suite 700
Cleveland, OH 44114-2518
(216) 861-5582

16834 U.S. PTO
10/767834
012904

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF : Paul A. Mueller, et al
FOR : CLIP-ON LABEL HOLDER FOR SHELF
CHANNEL
SERIAL NO. : Unknown
FILED : HEREWITH
ATTORNEY DOCKET NO. : FFRZ 2 00237

Cleveland, Ohio 44114

37 C.F.R. 1.27
STATEMENT OF STATUS AS A
SMALL BUSINESS ENTITY

Mail Stop Patent Application
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Dear Sir:

The undersigned affirms:

That he is an officer of the assignee, Fasteners For Retail, Inc., and is empowered to act on behalf of the assignee;

That the above listed assignee qualifies as a small business concern as defined in § 1.9(d), in that the above listed assignee, together with all of its affiliates combined had fewer than five hundred (500) employees including full-time, part-time, and temporary employees on the average during each pay period of the previous fiscal year of the assignee and its affiliates; and,


That the exclusive rights to the invention have been conveyed to and remain with the small business concern or, if the rights are not exclusive, that all other rights belong to small entities as defined in 37 C.F.R. § 1.9 and that the assignee has not assigned, granted, conveyed, or licensed, and is under no obligation under contract or law to assign, grant, convey, or license any rights in the invention to any person who could not be classified as an independent inventor under 37 C.F.R. 1.9(c) if that person had made the invention, or to any concern which would not qualify as a small business under 37 C.F.R. 1.9(d) or a nonprofit organization under 37 C.F.R. 1.9(e).

The undersigned acknowledges a duty to file, in this application or patents issuing thereon, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time or paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate.

The undersigned further declares that all statements made herein of his own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful and false statements may jeopardize the validity of the application or any patent issuing thereon.

FASTENERS FOR RETAIL, INC.

By



Title VP, Product Development

Date: 1/27/04